



PRIVACY POLICY

The following is a description of both, YieldQuest Advisors, LLC and YieldQuest Securities, LLC's (YieldQuest) policies regarding disclosure of nonpublic personal information that you provide to YieldQuest or that YieldQuest collects from other sources.

Categories of Information YieldQuest Collects. YieldQuest collects the following nonpublic personal information about you:

- Information YieldQuest receives from you on applications or other forms, correspondence, or conversations (such as your name, address, phone number, social security number and date of birth); and
- Information about your transactions with YieldQuest, their affiliates, or others (such as your account number and balance, payment history, parties to transactions, cost basis information, and other financial information).

Categories of Information YieldQuest Discloses. YieldQuest does not disclose any nonpublic personal information about their current or former clients or accounts to unaffiliated third parties, except as required or permitted by law. YieldQuest is permitted by law to disclose all of the information they collect, as described above, to their service providers (such as the clearing firm) to process your transactions and otherwise provide services to you.

Confidentiality and Security. YieldQuest restricts access to your nonpublic personal information to those persons who require such information to provide products or services to you. YieldQuest maintains physical, electronic, and procedural safeguards that comply with federal standards to guard your nonpublic personal information.

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